



LOYOLA COLLEGE (AUTONOMOUS) CHENNAI – 600 034

B.Com. DEGREE EXAMINATION – CORPORATE SECRETARYSHIP

FIFTH SEMESTER – APRIL 2025

UBC 5602 – INVESTMENT MANAGEMENT



Date: 08-05-2025

Dept. No.

Max. : 100 Marks

Time: 01:00 PM - 04:00 PM

SECTION A - K1 (CO1)

Answer ALL the Questions

(10 x 1 = 10)

1. Answer the following.

- What is speculation?
- What is Risk?
- What is Over the counter Exchange of India (OTCEI)?
- What is a going concern value?
- Who is a portfolio manager?

2. Fill in the blanks.

- _____ is the employment of funds on assets with the aim of earning income.
- External factors that cannot be controlled cause risks which are known as _____.
- _____ is a statutory regulatory body entrusted with the responsibility to regulate the Indian capital markets.
- _____ is the process of determining the worth of assets.
- _____ portfolio policy emphasis on the safety of principal.

SECTION A - K2 (CO1)

Answer ALL the Questions

(10 x 1 = 10)

3. Match the following.

- Promissory notes - Balance sheet
- Market risk - Aggressive policy
- OTCEI - Highly liquid
- Book value - 1989
- Yield - Changes in the pattern of demand and supply

4. True or False.

- In Closed ended scheme there is an uninterrupted entry.
- Insolvency risks arise out of the inability of the borrower in satisfying the needs of the investor.
- SEBI cannot sue and nor be sued.
- The intrinsic value of a share means the value of assets available per share.
- Portfolio means a combination of financial assets and physical assets.

SECTION B - K3 (CO2)**Answer any TWO of the following in 100 words each.****(2 x 10 = 20)**

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| 5. | Explain the objectives of an investment programme. |
| 6. | Interpret the benefits of Credit rating agencies. |
| 7. | Explain OCTEI & List out its features. |
| 8. | Enumerate the features of preference share. |

SECTION C – K4 (CO3)**Answer any TWO of the following in 100 words each.****(2 x 10 = 20)**

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| 9. | Elucidate the Investment Process. |
| 10. | Elaborate the different policies of valuation. |
| 11. | Analyze the various concepts of valuation. |
| 12. | Explain the general responsibilities of portfolio manager. |

SECTION D – K5 (CO4)**Answer any ONE of the following in 250 words****(1 x 20 = 20)**

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| 13. | Elucidate the types of investment available for a prudent investor. |
| 14. | Elaborate the credit rating process. |

SECTION E – K6 (CO5)**Answer any ONE of the following in 250 words****(1 x 20 = 20)**

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| 15. | Enumerate the objectives and functions of SEBI and its powers. |
| 16. | Examine the principles of portfolio management. |
